

IC20 Rec'd PCT/PTO 20 MAR 2002

FORM PTO 1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER HUBR-1207
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/088712</b>
INTERNATIONAL APPLICATION NO. PCT/EP00/09587	INTERNATIONAL FILING DATE 29 September 2000	PRIORITY DATE CLAIMED 29 September 1999	
SULFONATED CONDENSATION PRODUCTS WHICH ARE STABLE IN STORAGE, METHOD FOR THE PRODUCTION THEREOF, AND THEIR USE			
APPLICANT(S) FOR DO/EO/US Uwe HOLLAND, et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing 35 U.S.C. 371</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information: PCT/ISA/210; PCT/IPEA/409</li> </ol>			

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U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>107 0887 12</b>		INTERNATIONAL APPLICATION NO PCT/EP00/09587		ATTORNEY'S DOCKET NUMBER HUBR-1207	
17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO And International Search Report not prepared by the EPO or JPO ..... \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO And all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> Surcharge of \$ _____ for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$ 890.00	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	-20 =	0	X	\$	
Independent claims	1-3 =	0	X	\$	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			X	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above Are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 890.00	
Processing fee of \$ _____ for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 890.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment Must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) ( _____ per property). +				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 930.00	
				<b>Amount to be Refunded:</b> \$	
				<b>Charged:</b> \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>930.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. <u>50-0624</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: James R. Crawford FULBRIGHT & JAWORSKI L.L.P. 666 Fifth Avenue New York, New York 10103 (212) 318-3148 Customer No. 24972					
				SIGNATURE: <u>James R. Crawford</u> NAME: _____	
				39,155	

HUBR-1207 (10202926)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Uwe HOLLAND, et al.  
Serial No. : To be assigned  
Filed : Herewith  
For : STORAGE-STABLE SULFONATED CONDENSATION  
PRODUCTS, PROCESS FOR PREPARING THEM AND  
THEIR USE  
Art Unit : To be assigned  
Examiner : To be assigned

March 20, 2002

Commissioner of Patents  
and Trademark  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service by Express Mail in an envelope  
addressed to Commissioner of Patents and Trademarks, Washington,  
D.C. 20231 on March 20 2002

Eileen Sheffield

Signature

Date

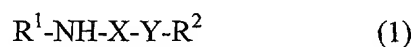
PRELIMINARY AMENDMENT

Prior to examination, please amend the above-identified patent application as follows::

IN THE CLAIMS

Cancel claims 1-12 without prejudice and add the following new claims:

13 A storage-stable sulfonated condensation product based on an amino resin former  
having at least two amino groups, at least one of sulfite and naphthalenesulfonic acid; and  
formaldehyde and, optionally organic nitrogen bases, comprising: at least one nitrogen-  
containing formulation auxiliary selected from the group consisting of a compound of formula )



wherein

$R^1$  and  $R^2$  are independently H,  $-\text{CH}_3$ ,  $-\text{C}_2\text{H}_5$ ,  $-\text{C}_3\text{H}_7$  or together form  $-(\text{CH}_2)_n-\text{CH}_2-$ ;

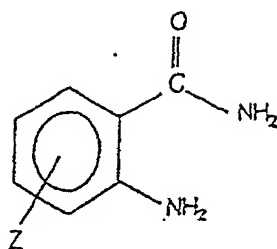
X is  $-\text{CH}_2$ , CO, or CS;

Y is S, NH, or  $-(\text{CH}_2)_m-$ ;

n is 0 to 9

m is 1 to 4;

and a compounds of formula (II)



wherein

Z is  $-\text{OCH}_3$ ,  $-\text{SO}_3\text{H}$ ,  $-\text{SO}_3\text{M}^+$ ,  $-\text{NO}_2$ ,  $-\text{NH}_2$ ,  $-\text{NH}-\text{NH}_2$ ,  $-\text{CO}_2\text{M}^+$ ,  $-\text{CHO}$ , or H

M is a cation;

wherein the molar ratio of amino resin former : formaldehyde : sulfite: nitrogen-containing formulation auxiliary is 1 : 1.9 – 6.0 : 1.0 – 2.0 : 0.01 – 1.5 and/or the molar ratio of naphthalene-sulfonic acid : formaldehyde : nitrogen-containing formulation auxiliary is 1 : 0.7 – 3.0 : 0.01 – 1.5.

14. A condensation product as claimed in claim 13, wherein said amino resin former is selected from the group consisting of melamine and urea.

15. A condensation product as claimed in claim 13, wherein said formulation auxiliary is selected from the group consisting of urea, thiourea, N-methylurea, 2-imidazolidinone and anthranilamide as formulation auxiliaries.

16. A condensation product as claimed in claim 13, wherein the amino resin former comprises up to 70% by weight of thiourea, dicyandiamide, a guanidine (salt) or mixtures thereof.

17. A condensation product as claimed in claim 13, wherein the condensation product is an aqueous solution having a solids content of from 20 to 60% by weight.

18. A condensation product as claimed in claim 17, wherein the viscosity of the aqueous solution at 95°C is from 0.5 to 250 mm<sup>2</sup>.s<sup>-1</sup>.

19. A condensation product as claimed in claim 13, wherein the aqueous solution has been dried to a residual moisture content of < 5%.

20. A process for preparing a condensation product as claimed in claim 13, comprising:

- a) heating said amino resin former or formers, said formaldehyde and said sulfite component in a molar ratio of 1 : 1.9 – 6.0 : 1.0 – 2.0 in aqueous solution with addition of a portion of the selected molar amount of the formulation auxiliary at a temperature of from 40°C to 90°C and a pH of from 7.5 and 13.0 until sulfite is no longer detectable;
- b) adding a portion 2 of the selected molar amount of the formulation auxiliary at a pH of from 3.0 to 7.0 and continuing the condensation at a temperature of from 60 to 95°C until the condensation product has a viscosity at 95°C of from 1 to 250 mm<sup>2</sup>.s<sup>-1</sup>;
- c) adding the pH of condensation product to a pH of from 7.5 to 12.0 or conducting a thermal after-treatment at a pH of  $\geq$  10.0 and a temperature of from 65 to 90°C; and

d) adding a portion 3 of the selected molar amount of the formulation auxiliary;

wherein the sum of portion 1, portion 2 and portion 3 of the formulation auxiliary corresponds to the molar amount of the formulation auxiliary of the formula (I) and/ (II) and each individual portion can amount to a proportion of from 0 to 100 total-%, wherein portion 1 is < 100%.

21. The process as claimed in claim 20, wherein the resultant condensation products are dried in a spray drier or on a roller drier to a preferred residual moisture content of < 5% by evaporation of the water under reduced pressure.

22. A process for preparing a condensation product as claimed in claim 13, wherein the sulfonated melamine-formaldehyde condensation products, sulfonated melamine-urea-formaldehyde condensation products or naphthalenesulfonic acid-formaldehyde condensation products are admixed with from 0.1 to 50% by weight, based on the content of solid active components, of a formulation auxiliary of the formula (I) and (II) or mixtures thereof and dried to a residual moisture content of < 5%.

23.. An inorganic binders comprising from 0.01 to 20% by weight of condensation product as claimed in claim 13, based on the amount of the inorganic binders.

24. An hydraulically setting dry mixes comprising from 0.01 to 20% by weight, of a condensation product as claim in claim 13, based on the amount of inorganic binders.

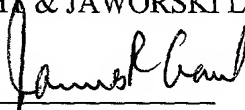
**REMARKS**

Entry of this amendment is respectfully requested.

If any fees are due to enter this amendment or to maintain pendency of this application,  
please charge the fees to Deposit Account No. 50-0624.

Respectfully submitted

FULBRIGHT & JAWORSKI L.L.P.

By   
James R. Crawford  
Reg. No. 39,155

666 Fifth Avenue  
New York, New York 10103  
(212) 318-3148

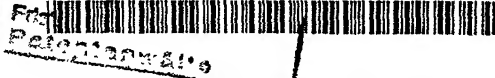
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(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES  
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum  
Internationales Büro



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(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von  
US): SKW POLYMERS GMBH [DE/DE]; Dr.-Albert-  
Frank-Strasse 32, 83308 Trostberg (DE).

(72) Erfinder; und

(75) Erfinder/Anmelder (nur für US): HOLLAND, Uwe  
[DE/DE]; Sonnenleite 30, 83361 Kienberg (DE).  
MATZINGER, Martin [DE/DE]; Lindach 33, 83308  
Trostberg (DE). PLANK, Johann [DE/DE]; Gräfin-Adel-  
heid-Strasse 9, 83308 Trostberg (DE).

(74) Anwälte: WEICKMANN, H. usw.; Kopernikusstrasse 9,  
81679 München (DE).

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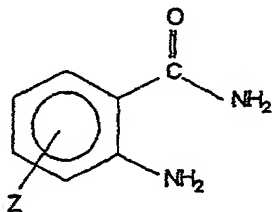
Veröffentlicht:

— Mit internationalem Recherchenbericht.

[Fortsetzung auf der nächsten Seite]

(54) Title: SULFONATED CONDENSATION PRODUCTS WHICH ARE STABLE IN STORAGE, METHOD FOR THE PRO-  
DUCTION THEREOF, AND THEIR USE

(54) Bezeichnung: LAGERSTABILE SULFONIERTE KONDENSATIONSPRODUKTE, VERFAHREN ZU IHRER HERSTEL-  
LUNG UND DEREN VERWENDUNG



(I)

(57) Abstract: The invention relates to sulfonated condensation products which are stabile in storage and which are based on aminoplastic formers comprising at least two amino groups or naphthalene and formaldehyde and, optionally comprising organic nitrogen bases which additionally contain, as nitrogenous formulation auxiliary agents, compounds of general formula (I)  $R^1-NH-X-Y-R^2$ , wherein  $R^1$  and  $R^2$ , independent of one another, represent H,  $-CH_3$ ,  $-C_2H_5$ ,  $-C_3H_7$ ,  $-(CH_2)_n-CH_2$ ;  $X = -CH_2$ , CO, CS;  $Y = S$ , NH,  $-(CH_2)_m$ ;  $n = 0$  to 9;  $m = 1$  to 4; and/or compounds of general formula (II), wherein  $Z = -OCH_3$ ,  $-SO_3H$ ,  $-SO_3Na^+$ ,  $-NO_2$ ,  $-NH_2$ ,  $-NH-NH_2$ ,  $-CO_2Na^+$ ,  $-CHO$ . According to the invention, the mol ratio of aminoplastic formers: formaldehyde: sulfite: nitrogenous formulation

auxiliary agents equals 1: 1.9 to 6.0: 1.0 to 2.0: 0.01 to 1.5 and/or the mol ratio of naphthalene sulfonic acid: formaldehyde: nitrogenous formulation auxiliary agents equals 1: 0.7 to 3.0: 0.01 to 1.5. The invention also relates to a method for producing these condensation products and to their use, especially as additives for inorganic binding agents and for hydraulically setting dry mixtures that contain these inorganic binding agents. The inventive sulfonated condensation products which are stabile in storage are characterized, above all, by having a significantly increased thermal stability.

(57) Zusammenfassung: Gegenstand der vorliegenden Erfindung sind lagerstabile sulfonierte Kondensationsprodukte auf Basis Aminoplastbildner mit mindestens zwei Aminogruppen oder Naphthalin sowie Formaldehyd und ggf. organischen Stickstoffbasen, die zusätzlich als stickstoffhaltige Formulierungshilfsmittel Verbindungen der allgemeinen Formel (I):  $R^1-NH-X-Y-R^2$ , worin  $R^1$  und  $R^2$  unabhängig voneinander H,  $-CH_3$ ,  $-C_2H_5$ ,  $-C_3H_7$ ,  $-(CH_2)_n-CH_2$ ;  $X = -CH_2$ , CO, CS;  $Y = S$ , NH,  $-(CH_2)_m$ ;  $n = 0$  bis 9;  $m = 1$  bis 4; und/oder Verbindungen der allgemeinen Formel (II) worin  $Z = -OCH_3$ ,  $-SO_3H$ ,  $-SO_3Na^+$ ,  $-NO_2$ ,  $-NH_2$ ,  $-NH-NH_2$ ,  $-CO_2Na^+$ ,  $-CHO$ , enthalten und bei denen das Mol-Verhältnis von Aminoplastbildner: Formaldehyd: Sulfite: stickstoffhaltigem Formulierungshilfsmittel 1: 1,9 bis 6,0; 1,0 bis 2,0; 0,01 bis 1,5 und/oder das Mol-Verhältnis von Naphthalinsulfonsäure: Formaldehyd: stickstoffhaltigem Formulierungshilfsmittel 1: 0,7 bis 3,0; 0,01 bis 1,5 beträgt. Beschrieben wird ferner ein Verfahren zur Herstellung dieser Kondensationsprodukte sowie deren Verwendung, insbesondere als Zusatzmittel für anorganische Bindemittel und für hydraulisch abbindende Trockenmischungen, die diese anorganischen Bindemittel enthalten. Insgesamt zeichnen sich die erfindungsgemäßen lagerstabilen sulfonierten Kondensationsprodukte vor allem durch eine signifikant erhöhte thermische Stabilität aus.

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Storage-stable sulfonated condensation products,  
process for preparing them and their use

### Description

5

The present invention relates to storage-stable sulfonated condensation products, a process for preparing them and their use.

10 It is sufficiently known that hydraulically setting binders such as cement, lime, gypsum,  $\text{CaSO}_4$  hemihydrates and anhydrites can be fluidized by addition of dispersants, which makes it possible to set desired low water/binder ratios. Classical dispersants  
15 which have been used for over 20 years are melamine-formaldehyde-sulfite (MSF) resins and naphthalenesulfonic acid-formaldehyde (NSF) resins which have been continuously developed further in recent years so as to be able to meet increased  
20 expectations.

Thus, DE 195 38 821 describes low-cost MFS resins containing a high proportion of sulfite. According to EP 690 083, a cost reduction is achieved by partial  
25 replacement of melamine by urea in a 2-stage process with addition of coreactants such as aminosulfonic acids, aminocarboxylic acids and caprolactam, etc., although this advantage is partly negated by an oxidation step to eliminate the excess sulfite.

30

Also customary is the addition of sulfanilic acid, as disclosed, for example, in DE 44 11 797 or in DE 196 09 614, in which case the sulfanilic acid is supplemented by polyoxyalkylene derivatives and/or aldehyde acid  
35 derivatives.

However, all these condensation products have the disadvantage that the spray drying of aqueous solutions

of conventional fluidizers has an extremely adverse effect on the early strength development which is of particular importance for  $\text{CaSO}_4$  applications due to the high thermal stress during drying.

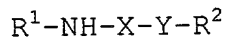
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It is therefore an object of the present invention to develop storage-stable sulfonated condensation products based on an amino resin former having at least two amino groups and sulfite and/or naphthalenesulfonic acid together with formaldehyde which when used as additives for hydraulically setting additives do not display the abovementioned disadvantage of a thermal change but are instead stable over a wide temperature range.

10

According to the invention, this object is achieved by sulfonated condensation products which further comprise at least one nitrogen-containing formulation auxiliary selected from among compounds of the formula (I)

15



where

$\text{R}^1$  and  $\text{R}^2$  are each, independently of one another, H,  $-\text{CH}_3$ ,  $-\text{C}_2\text{H}_5$ ,  $-\text{C}_3\text{H}_7$ ,  $-(\text{CH}_2)_n\text{-CH}_2\text{-}$

25

X =  $-\text{CH}_2$ , CO, CS

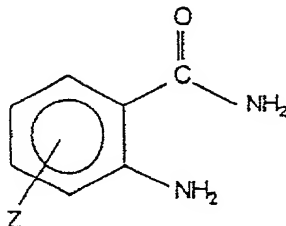
Y = S, NH,  $-(\text{CH}_2)_m\text{-}$

n = 0 to 9

m = 1 to 4;

30

and/or compounds of the formula (II)



where

Z = -OCH<sub>3</sub>, -SO<sub>3</sub>H, -SO<sub>3</sub><sup>-</sup>M<sup>+</sup>, -NO<sub>2</sub>, -NH<sub>2</sub>, -NH-NH<sub>2</sub>,  
-CO<sub>2</sub><sup>-</sup>M<sup>+</sup>, -CHO,

M = a cation, in particular Na

5

and in which the molar ratio of amino resin former :  
formaldehyde : sulfite : nitrogen-containing  
formulation auxiliary is 1 : 1.9 - 6.0 : 1.0 - 2.0 :  
0.01 - 1.5 and/or the molar ratio of  
10 naphthalenesulfonic acid : formaldehyde : nitrogen-  
containing formulation auxiliary is 1 : 0.7 - 3.0 :  
0.01 - 1.5.

Contrary to all expectations, it has been found that  
15 the storage-stable sulfonated condensation products of  
the invention display, in addition to the desired  
temperature stability, a drastic reduction in the  
undesirable outgassing of formaldehyde and/or ammonia  
which has hitherto been typical for this class of  
20 product. This effect displayed so clearly was not  
foreseeable.

As regards the components of the storage-stable  
sulfonated condensation products, the invention  
25 provides for melamine and/or urea to be used as  
preferred amino resin formers. These can be replaced to  
an extent of up to 70% by weight by thiourea,  
dicyandiamide, a guanidine (salt) and mixtures thereof,  
although ranges of from 30 to 50% by weight are to be  
30 preferred.

Likewise, urea and also thiourea, N-methylurea,  
2-imidazolidinone and/or anthranilamide represent  
typical organic formulation auxiliaries for the  
35 purposes of the invention.

The nitrogen-containing formulation auxiliary can, if  
desired, be partly incorporated into the condensate of

amino resin former, formaldehyde and sulfite component or form an adduct with this.

For some applications, it has been found to be advantageous to use the condensation products as aqueous solutions. Aqueous solutions having a solids content of from 20 to 60% by weight and a viscosity at 95°C of from 0.5 to 250 mm<sup>2</sup>·s<sup>-1</sup> are particularly useful for this purpose. On the other hand, the condensation products can also be used as dry products having a residual moisture content of < 5% (weight/weight).

Apart from the storage-stable sulfonated condensation products themselves, the present invention also claims a process for preparing them, in which

a) the amino resin former or formers, formaldehyde and the sulfite component are heated in a molar ratio of 1 : 1.9 - 6.0 : 1.0 - 2.0 in aqueous solution with addition of a portion 1 of the selected molar amount of the formulation auxiliary at a temperature of from 40°C to 90°C and a pH of from 7.5 and 13.0 until sulfite is no longer detectable,

b) a portion 2 of the selected molar amount of the formulation auxiliary is then added at a pH of from 3.0 to 7.0 and the condensation is continued at a temperature of from 60 to 95°C until the condensation product has a viscosity at 95°C of from 1 to 250 mm<sup>2</sup>·s<sup>-1</sup>,

c) the condensation product is subsequently brought to a pH of from 7.5 to 12.0 or a thermal after-treatment is carried out at a pH of ≥ 10.0 and a temperature of from 65 to 90°C and

- d) a portion 3 of the selected molar amount of the formulation auxiliary is finally added,

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where the sum of portion 1, portion 2 and portion 3 of the formulation auxiliary corresponds to the molar amount of the formulation auxiliary of the formula (I) and/or (II) and each individual portion can amount to a proportion of from 0 to 100 total-%, with the proviso that the portion 1 is < 100% and preferably < 99% and particularly preferably < 90%, respectively.

10

Furthermore, this process provides for the condensation products obtained in this way to be dried to a preferred residual moisture content of < 5%, which should preferably be carried out by evaporation of the water under reduced pressure, in a spray drier or on a roller dryer.

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As an alternative method of preparing the condensation products claimed, it is proposed that sulfonated melamine-formaldehyde condensation products, sulfonated melamine-urea-formaldehyde condensation products or naphthalenesulfonic acid-formaldehyde condensation products be admixed with from 0.1 to 50% by weight, based on the content of solid active components, of a formulation auxiliary of the formulae (I) and/or (II) defined above or mixtures thereof and, if desired, dried to a residual moisture content of < 5%.

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30

The storage-stable sulfonated condensation products are used either as additives for inorganic binders, e.g. cement, lime, gypsum,  $\text{CaSO}_4$  hemihydrates and anhydrites, in an amount of from 0.01 to 20% by weight, based on the amount of the inorganic binders used, or else as additive for hydraulically setting dry mixes which comprise inorganic binders, in which case

35

preference is given to amounts of from 0.01 to 20% by weight, based on the amount of the inorganic binders used.

5 Overall, the storage-stable sulfonated condensation products of the invention represent a significant advance in respect of the thermal stability of these condensation products and also take account of the increased demands made of environmentally friendly  
10 products.

The following examples illustrate these advantages of the condensation products of the invention.

15 Examples

Example 1: (comparison, without formulation auxiliary)

332.1 g of formalin (30% strength), 156.5 g of water  
20 and 0.6 g of a 20% strength aqueous sodium hydroxide solution were placed in a round-bottom flask. 126.0 g of melamine were subsequently introduced, the solution was heated to 30°C and 121.3 g of sodium pyrosulfite and 16.5 g of 20% strength NaOH were added and the  
25 mixture was heated at 80°C until the sulfite is completely incorporated.

After the sulfite had been completely incorporated, 56.0 g of H<sub>2</sub>SO<sub>4</sub> (10% strength) were added and condensation was then carried out at 80°C until the  
30 viscosity was 9.1 cSt; finally, 66.5 g of a 20% strength sodium hydroxide solution were added and the mixture was cooled to room temperature (RT).

The finished solution displayed the following physical  
35 data:

Solids content:	40.7% by weight
Viscosity:	3.40 cSt (20°C)
pH:	12.0

HCHO<sub>free</sub>: 0.40%

This solution was dried in a spray drier to give a colorless powder; HCHO<sub>free</sub> content of the powder after drying: 0.22%.

5

Examples according to the invention: (with formulation auxiliary)

Example 2:

10

332.1 g of formalin (30% strength), 156.5 g of water and 0.6 g of a 20% strength aqueous sodium hydroxide solution were placed in a round-bottom flask. 126.0 g of melamine were subsequently introduced, the solution was heated to 30°C and 121.3 g of sodium pyrosulfite and 16.5 g of a 20% strength aqueous sodium hydroxide solution were added and the mixture was heated at 80°C until the sulfite is completely incorporated.

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After the sulfite had been completely incorporated, 13.6 g of anthranilamide and 37.0 g of N-methylurea and also 56.0 g of H<sub>2</sub>SO<sub>4</sub> (10% strength) and 25.3 g of water were added and condensation was carried out at 80°C until the viscosity was 9.1 cSt; finally, 20.9 g of a 20% strength sodium hydroxide solution were added and the mixture was cooled to RT.

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The finished solution displayed the following physical data:

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Solids content:	43.8% by weight
Viscosity:	2.89 cSt (20°C)
pH:	12.1
HCHO <sub>free</sub> :	0.27%

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This solution was dried in a spray drier to give a colorless powder; HCHO<sub>free</sub> content of the powder after drying: 0.19%.

Example 3:

332.1 g of formalin (30% strength), 156.5 g of water and 0.6 g of a 20% strength aqueous sodium hydroxide solution were placed in a round-bottom flask. 126.0 g of melamine were subsequently introduced, the solution was heated to 30°C and 121.3 g of sodium pyrosulfite and 16.5 g of a 20% strength aqueous sodium hydroxide solution were added and the mixture was heated at 80°C until the sulfite is completely incorporated.

After the sulfite had been completely incorporated, 56.0 g of H<sub>2</sub>SO<sub>4</sub> (10% strength) were added and condensation was carried out at 80°C until the viscosity was 9.1 cSt; 13.6 g of anthranilamide, 25.8 g of 2-imidazolidinone and 20.1 g of water were then added and the solution was made alkaline by addition of 14.7 g of a 20% strength sodium hydroxide solution and cooled to RT.

The finished solution displayed the following physical data:

Solids content:	43.1% by weight
Viscosity:	3.10 cSt (20°C)
pH:	11.3
HCHO <sub>free</sub> :	0.10%

This solution was dried in a spray drier to give a colorless powder; HCHO<sub>free</sub> content of the powder after drying: 0.08%.

#### Example 4:

332.1 g of formalin (30% strength), 156.5 g of water and 0.6 g of a 20% strength aqueous sodium hydroxide solution were placed in a round-bottom flask. 126.0 g of melamine were subsequently introduced, the solution was heated to 30°C and 121.3 g of sodium pyrosulfite and 16.5 g of a 20% strength aqueous sodium hydroxide solution and also 37.0 g of N-methylurea, 76.1 g of



thiourea and 150.6 g of water were added and the mixture was heated at 80°C until the sulfite is completely incorporated.

5 After the sulfite had been completely incorporated, 56.0 g of H<sub>2</sub>SO<sub>4</sub> (10% strength) were added and condensation was carried out at 80°C until the viscosity was 3.9 cSt; finally, 22.2 g of a 20% strength sodium hydroxide solution were added and the  
10 mixture was cooled to RT.

The finished solution displayed the following physical data:

	Solids content:	41.8% by weight
15	Viscosity:	2.53 cSt (20°C)
	pH:	12.3
	HCHO <sub>free</sub> :	0.08%

This solution was dried in a spray drier to give a colorless powder; HCHO<sub>free</sub> content of the powder after  
20 drying: 0.07%.

Example 5:

332.1 g of formalin (30% strength), 156.5 g of water  
25 and 0.6 g of a 20% strength aqueous sodium hydroxide solution were placed in a round-bottom flask. 126.0 g of melamine were subsequently introduced, the solution was heated to 30°C and 121.3 g of sodium pyrosulfite and 16.5 g of a 20% strength sodium hydroxide solution  
30 and also 37.0 g of N-methylurea, 19.0 g of thiourea and 92.8 g of water were added and the mixture was heated at 80°C until the sulfite is completely incorporated. After the sulfite had been completely incorporated, 56.0 g of H<sub>2</sub>SO<sub>4</sub> (10% strength) were added and  
35 condensation was carried out at 80°C until the viscosity was 5.3 cSt; finally, 15.8 g of a 20% strength sodium hydroxide solution were added and the mixture was cooled to RT.

The finished solution displayed the following physical data:

Solids content: 40.5% by weight  
Viscosity: 2.84 cSt (20°C)  
pH: 12.0  
HCHO<sub>free</sub>: 0.10%

This solution was dried in a spray drier to give a colorless powder; HCHO<sub>free</sub> content of the powder after drying: 0.11%.

In the following, the properties of the resin-containing solutions and the powders produced therefrom were compared in an  $\alpha$ -hemihydrate environment:

Basic formulation: 50.0 g of  $\alpha$ -hemihydrate  
16.0 g of water  
0.180 g of the respective amino resin (calculated as solid)

#### Procedure:

The fluidized plaster slurries were poured from the mixing cup onto a glass plate in one action; after determining the spread (SP), setting was monitored by means of a Vicat needle about 1 cm from the edge of the gypsum plaster cake.

#### Results:

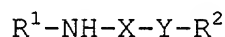
Examples	as solution		as powder		$\Delta t$ of setting [min]
	SP [cm]	Setting [min]	SP [cm]	Setting [min]	
1 (comparison)	10.2	35	10.6	43	8
2	8.8	33	8.7	35	2
3	9.9	41	9.3	41	0
4	9.5	35	9.6	33	-2
5	9.8	35	9.9	33	-2

It can be seen that, in examples 2 to 4 according to the invention, setting of the gypsum plaster mix remains unchanged within the limits of accuracy when the solution has been spray dried to give a powder, while example 1 (comparison) without addition according to the invention of a formulation auxiliary displays a significantly prolonged setting time.

The same significant result can be seen in the change in the  $\text{HCOH}_{\text{free}}$  values after drying (cf. examples 1 to 5). In example 1 (comparison) there is a relatively large decrease in the concentration of unreacted formaldehyde, while the resins of examples 2 to 4 according to the invention display excellent thermal stability during drying.

## Claims

1. A storage-stable sulfonated condensation product  
 5 based on an amino resin former having at least two  
 amino groups and sulfite and/or naphthalenesulfonic  
 acid and also formaldehyde and, if desired, organic  
 nitrogen bases, characterized in that it comprises at  
 10 least one nitrogen-containing formulation auxiliary  
 selected from among compounds of the general formula  
 (I)



15 where

$R^1$  and  $R^2$  are each, independently of one another, H,  
 $-CH_3$ ,  $-C_2H_5$ ,  $-C_3H_7$ ,  $-(CH_2)_n-CH_2-$

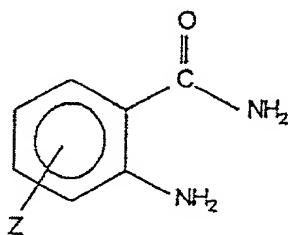
X =  $-CH_2$ , CO, CS

Y = S, NH,  $-(CH_2)_m-$

20 n = 0 to 9

m = 1 to 4;

and/or compounds of the formula (II)



25 where

Z =  $-OCH_3$ ,  $-SO_3H$ ,  $-SO_3^-M^+$ ,  $-NO_2$ ,  $-NH_2$ ,  $-NH-NH_2$ ,  
 $-CO_2^-M^+$ ,  $-CHO$ ,

30 M = a cation

and in that the molar ratio of amino resin former :  
 formaldehyde : sulfite : nitrogen-containing  
 formulation auxiliary is 1 : 1.9 - 6.0 : 1.0 - 2.0 :

0.01 - 1.5 and/or the molar ratio of naphthalenesulfonic acid : formaldehyde : nitrogen-containing formulation auxiliary is 1 : 0.7 - 3.0 : 0.01 - 1.5.

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2. A condensation product as claimed in claim 1, characterized in that it comprises melamine and/or urea as amino resin formers.

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3. A condensation product as claimed in claim 1 or 2, characterized in that it comprises urea, thiourea, N-methylurea, 2-imidazolidinone and/or anthranilamide as formulation auxiliaries.

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4. A condensation product as claimed in any of claims 1 to 3, characterized in that the amino resin former contains up to 70% by weight of thiourea, dicyandiamide, a guanidine (salt) and mixtures thereof.

20

5. A condensation product as claimed in any of claims 1 to 4, characterized in that it is in the form of an aqueous solution having a solids content of from 20 to 60% by weight.

25

6. A condensation product as claimed in claim 5, characterized in that the viscosity of the aqueous solution at 95°C is from 0.5 to 250 mm<sup>2</sup>·s<sup>-1</sup>.

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7. A condensation product as claimed in any of claims 1 to 4, characterized in that it has been dried to a residual moisture content of < 5%.

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8. A process for preparing a condensation product as claimed in any of claims 1 to 7, characterized in that

a) the amino resin former or formers, formaldehyde and the sulfite component are heated in a molar ratio of 1 : 1.9 - 6.0 : 1.0 - 2.0 in aqueous

solution with addition of a portion 1 of the selected molar amount of the formulation auxiliary at a temperature of from 40°C to 90°C and a pH of from 7.5 and 13.0 until sulfite is no longer detectable,

b) a portion 2 of the selected molar amount of the formulation auxiliary is then added at a pH of from 3.0 to 7.0 and the condensation is continued at a temperature of from 60 to 95°C until the condensation product has a viscosity at 95°C of from 1 to 250 mm<sup>2</sup>·s<sup>-1</sup>,

c) the condensation product is subsequently brought to a pH of from 7.5 to 12.0 or a thermal after-treatment is carried out at a pH of ≥ 10.0 and a temperature of from 65 to 90°C and

d) a portion 3 of the selected molar amount of the formulation auxiliary is finally added,

where the sum of portion 1, portion 2 and portion 3 of the formulation auxiliary corresponds to the molar amount of the formulation auxiliary of the formula (I) and/or (II) and each individual portion can amount to a proportion of from 0 to 100 total-%, with the proviso that the portion 1 is < 100%.

9. The process as claimed in claim 8, characterized in that the condensation products are dried to a preferred residual moisture content of < 5% by evaporation of the water under reduced pressure, in a spray drier or on a roller drier.

10. A process for preparing a condensation product as claimed in any of claims 1 to 7, characterized in that sulfonated melamine-formaldehyde condensation products, sulfonated melamine-urea-formaldehyde condensation

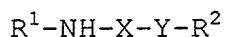
products or naphthalenesulfonic acid-formaldehyde condensation products are admixed with from 0.1 to 50% by weight, based on the content of solid active components, of a formulation auxiliary of the formula  
5 (I) and/or (II) or mixtures thereof and dried to a residual moisture content of < 5%.

11. The use of a condensation product as claimed in any of claims 1 to 7 as additive for inorganic binders in  
10 an amount of from 0.01 to 20% by weight, based on the amount of the inorganic binders used.

12. The use of a condensation product as claimed in any of claims 1 to 7 as additive for hydraulically setting  
15 dry mixes comprising inorganic binders, in an amount of from 0.01 to 20% by weight, based on the amount of inorganic binders used.

### Abstract

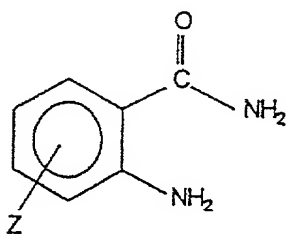
The present invention relates to storage-stable sulfonated condensation products based on amino resin formers having at least two amino groups or naphthalene and also formaldehyde and, if desired, organic nitrogen bases, which further comprise, as nitrogen-containing formulation auxiliaries, compounds of the formula (I)



where

$R^1$  and  $R^2$  are each, independently of one another, H,  $-CH_3$ ,  $-C_2H_5$ ,  $-C_3H_7$ ,  $-(CH_2)_n-CH_2-$   
X =  $-CH_2$ , CO, CS  
Y = S, NH,  $-(CH_2)_m-$   
n = 0 to 9  
m = 1 to 4;

and/or compounds of the formula (II)



where

Z =  $-OCH_3$ ,  $-SO_3H$ ,  $-SO_3^-N_2^+$ ,  $-NO_2$ ,  $-NH_2$ ,  $-NH-NH_2$ ,  $-CO_2^-Na^+$ ,  $-CHO$ ,

and in which the molar ratio of amino resin former : formaldehyde : sulfite : nitrogen-containing formulation auxiliary is 1 : 1.9 - 6.0 : 1.0 - 2.0 : 0.01 - 1.5 and/or the molar ratio of naphthalenesulfonic acid : formaldehyde : nitrogen-containing formulation auxiliary is 1 : 0.7 - 3.0 : 0.01 - 1.5. Also described are a process for preparing



these condensation products and their use, in particular as additives for inorganic binders and for hydraulically setting dry mixes comprising these inorganic binders. Overall, the storage-stable sulfonated condensation products of the invention display, in particular, a significantly increased thermal stability.

ei/ANM/23208PWO September 22, 2000

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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration OR  
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with Initial Filing

☐ Declaration  
Submitted after  
Initial Filing

Attorney Docket Number	HUBR 1207
First Named Inventor	Holland, et al.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Storage-stable sulfonated condensation products, process  
for preparing them and their use

(Title of the invention)

the specification of which

☐ is attached hereto  
OR

☒ was filed on (MM/DD/YYYY)

September 29, 2000

as United States Application Number or PCT International

Application Number PCT/EP00/09587 and was amended on (MM/DD/YYYY) Dec 14, 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
199 46 591.6	Germany	Sept 29, 99	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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Direct all correspondence to: ☐ Customer Number 24972 OR ☐ Correspondence address below

Name	Fulbright & Jaworski L.L.P.				
Address	666 Fifth Avenue				
Address	New York, N.Y. 10103				
City	New York	State	NY	ZIP	10103
Country	USA	Telephone	001-212-3183000	Fax	001-212-7525958

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Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Ilwe		Holland	
Inventor's Signature	<i>x Ilwe Holland</i>	Date	11/12/02
Residence: City	Wehringen	Country	Germany
Post Office Address	Federteilstraße 12, 86577 Wehringen, Germany		
Post Office Address	Sonnenleite 30, 83361 Kienberg, Germany		
City		State	
ZIP		Country	

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Martin	Middle Initial		Family Name	Matzinger	Suffix	e.g. Jr.
Inventor's Signature	X <i>Martin Matzinger</i>				Date	X 13/2/2002	
Residence: City	Trostberg	State		Country	Germany	Citizenship	DE
Post Office Address	Lindach 33, 83308 Trostberg, Germany						
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Johann	Middle Initial		Family Name	Plank	Suffix	e.g. Jr.
Inventor's Signature	X <i>Joh Plank</i>				Date	X 15/2/2002	
Residence: City	Trostberg	State		Country	Germany	Citizenship	DE
Post Office Address	Gräfin-Adelheid-Straße 9, 83308 Trostberg, Germany						
Post Office Address							
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix	e.g. Jr.
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix	e.g. Jr.
Inventor's Signature					Date		
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